Aligned Monitoring System
2016 NIHSDA Management Training Conference

Log-On to PollEverywhere! Select Option #1 or Option #2 to Join!

- Option #1 (TEXT):
  - Text FMPCONSULTING to 22333
- Option #2 (Website):
  - PollEv.com/fmconsulting

Presentation Agenda

- Overview of the OHS Aligned Monitoring System
- Review Areas At-A-Glance
- AIAN FY 2016 Monitoring Data
- Virtual Expo
- Questions & Answers
The Aligned Monitoring System

- Comprehensive Health & Well-Being
- Class Size & School Environment
- Physical Integrity
- Leadership, Governance, Management System
- Eligibility, Recruitment, Selection, Enrollment, Attendance

1. Install the app from pollux.com/app.
2. Make sure you are in Slide Show mode.

Still not working? Get help at pollux.com/app/help or open poll in your web browser.
Environmental Health and Safety (EnvHS)

- State Licensing vs. Head Start Regulations/Standards
- Assesses grantee performance in ensuring healthy and safe environments for children, families, and staff
- Most directly involves partners because every center and every classroom is observed
- Consists of observation, interviews, and document reviews

Fiscal Integrity

- The purpose of this review is to ensure grantees are properly using Federal funds to best support children and families
- This review area may feel unfamiliar to partners who have never participated in a Federal grant program & were never subject to Federal regulations
- Consists of document reviews, interviews, and reviews partnership contracts and subsidy information

Comprehensive Services & School Readiness (CSSR)

- This review event tracks a child’s journey along the program path
- Elements of this review may be quite familiar to partners due to state licensing, accreditation, or QRIS guidelines followed
- Consists of interviews, document reviews, and observations – likely to directly involve child care partners
Eligibility, Recruitment, Selection, Enrollment, Attendance (ERSEA)

- This review event is designed to ensure that grantees are recruiting and enrolling the community’s neediest children.
- Some areas of this review such as determining eligibility and tracking attendance may feel familiar to partners. Other areas will be new to partners.
- Consists of document reviews and interviews typically done with the grantees.

Leadership, Governance, Management Systems (LGMS)

- This review event allows grantees to show how their organizational leadership ensures overall good governance, management, and program performance.
- Review area may be unfamiliar to partners because this review assesses the unique program structure of HS and EHS grantees.
- Consists of interviews and document reviews, mostly at the grantee level.

Your poll will show here
When We Monitor

<table>
<thead>
<tr>
<th>Years</th>
<th>Review Event Monitoring</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Environmental Health &amp; Safety</td>
</tr>
<tr>
<td>2</td>
<td>Fiscal Integrity/ ERSEA</td>
</tr>
<tr>
<td>3</td>
<td>Comprehensive Services &amp; School Readiness</td>
</tr>
<tr>
<td>4</td>
<td>Leadership, Governance, Management Systems</td>
</tr>
</tbody>
</table>

1. Usually first
2. Never in 2nd year
3. Usually last

Your poll will show here

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2. Make sure you are in show mode

Still not working? Get help at pollini.com/app/help or open poll in your web browser.
How It All Connects Together

- Single
- Events
- Build
- Upon
- Each
- Other

The monitoring events are designed to evaluate the overall performance and quality of grantees.

Monitoring is Working!

- MONITORING IS IMPROVING GRANTEES OVER TIME
- More centers/facilities observed
- Increase in grantee compliance
- Self-assessment promoted as part of self-assessment
- Info from observations guides feedback to grantees
- OHS commitment to process improvement

Your poll will show here

1. Install the app from google.com
2. Make sure you are in slide show mode

Still not working? Get help at google.com/app/help or open poll in your web browser.
### AIAN Review Completion

<table>
<thead>
<tr>
<th>Review Type</th>
<th>Total for FY2016</th>
<th>Site Visits</th>
<th>Completed</th>
<th>Scheduled</th>
</tr>
</thead>
<tbody>
<tr>
<td>CSSR</td>
<td>0</td>
<td>0.0%</td>
<td>0.0%</td>
<td>0.0%</td>
</tr>
<tr>
<td>CLASS®</td>
<td>18</td>
<td>14 (77.8%)</td>
<td>13 (72.2%)</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>EnvHS</td>
<td>56</td>
<td>51 (91.1%)</td>
<td>43 (76.8%)</td>
<td>3 (22.2%)</td>
</tr>
<tr>
<td>Fiscal</td>
<td>18</td>
<td>17 (94.4%)</td>
<td>14 (77.8%)</td>
<td>3 (16.7%)</td>
</tr>
<tr>
<td>LGMS</td>
<td>136</td>
<td>103 (76.0%)</td>
<td>71 (52.6%)</td>
<td>10 (76.9%)</td>
</tr>
<tr>
<td>Other</td>
<td>2</td>
<td>2 (100%)</td>
<td>1 (50%)</td>
<td>1 (50%)</td>
</tr>
<tr>
<td>Overall</td>
<td>208</td>
<td>171 (82.4%)</td>
<td>108 (52.3%)</td>
<td>27 (13.0%)</td>
</tr>
</tbody>
</table>

*Data current as of May 31, 2016

### AIAN Classrooms Observed

**FY2015**
- Of the 515 completed EnvHS reviews, 29 were AIAN and reviewers observed 257 classrooms at 83 centers.
  - 66% were HS classrooms
  - 34% were EHS classrooms
- CLASS® Reviewers observed 42 AIAN classrooms at 19 HS AIAN centers.

**FY2016**
- Of the 511 EnvHS site visits conducted to date 55 were AIAN reviews, reviewers observed 536 classrooms at 238 centers.
  - 79.7% were HS classrooms
  - 20.3% were EHS classrooms
- CLASS® Reviewers observed 127 AIAN classrooms at 51 AIAN HS centers.

*Data current as of May 31, 2016

### FY 2015 AIAN Review Outcomes

![Graph showing review outcomes for FY 2015 AIAN reviews]
**FY 2016 AIAN Review Outcomes**

![Chart showing compliance](chart.png)

- EmHS (n=43):
  - Compliant: 86%
  - Noncompliant: 8%
  - Deficient: 7%

- FIS/ERSEA (n=17):
  - Compliant: 88%
  - Noncompliant: 0%
  - Deficient: 3%

- LGMS (n=2):
  - Compliant: 100%
  - Noncompliant: 7%
  - Deficient: 0%

- CSSR (n=11):
  - Compliant: 75%
  - Noncompliant: 0%
  - Deficient: 0%

- Other (n=4):
  - Compliant: 50%
  - Noncompliant: 0%
  - Deficient: 0%

*Data current as of May 31, 2016*

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**FY 2015 Top Cited Areas of Noncompliance**

<table>
<thead>
<tr>
<th>Grouping</th>
<th>Times Cited</th>
<th>Percentage of Citations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Physical Arrangements Consistent with the Health, Safety and Developmental Needs of Children</td>
<td>14</td>
<td>26.80%</td>
</tr>
<tr>
<td>Criminal Record Checks</td>
<td>12</td>
<td>23.53%</td>
</tr>
<tr>
<td>Minute Safety</td>
<td>6</td>
<td>11.76%</td>
</tr>
<tr>
<td>Maintenance, Repair, Safety, and Security of all Facilities, Materials and Equipment</td>
<td>5</td>
<td>9.46%</td>
</tr>
<tr>
<td>Medication Administration</td>
<td>4</td>
<td>7.46%</td>
</tr>
<tr>
<td>Agendas</td>
<td>3</td>
<td>5.68%</td>
</tr>
<tr>
<td>Allowable and Allocatable Costs</td>
<td>2</td>
<td>3.92%</td>
</tr>
<tr>
<td>Health Emergency Procedures</td>
<td>2</td>
<td>3.92%</td>
</tr>
</tbody>
</table>

*Data current as of May 31, 2016*

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**FY 2016 Top Cited Areas of Noncompliance**

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</tr>
</thead>
<tbody>
<tr>
<td>Physical Arrangements Consistent with the Health, Safety and Developmental Needs of Children</td>
<td>5</td>
<td>26.02%</td>
</tr>
<tr>
<td>Criminal Record Checks</td>
<td>4</td>
<td>16.00%</td>
</tr>
<tr>
<td>Determining Child Health Status</td>
<td>4</td>
<td>16.00%</td>
</tr>
<tr>
<td>Driver and Bus Monitor Training</td>
<td>3</td>
<td>12.00%</td>
</tr>
<tr>
<td>Cleanens Time and Staffing</td>
<td>2</td>
<td>8.00%</td>
</tr>
<tr>
<td>Administrative Certification</td>
<td>2</td>
<td>8.00%</td>
</tr>
</tbody>
</table>

*Data current as of May 31, 2016*
### FY 2015 Top Cited Areas of Deficiencies

<table>
<thead>
<tr>
<th>Grouping</th>
<th>Times Cited</th>
<th>Percentage of Citations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Code of Conduct</td>
<td>4</td>
<td>20.00%</td>
</tr>
<tr>
<td>Criminal Record Checks</td>
<td>3</td>
<td>15.00%</td>
</tr>
<tr>
<td>Physical Arrangements Consistent with the Health, Safety and Developmental Needs of Children</td>
<td>2</td>
<td>10.00%</td>
</tr>
<tr>
<td>Staff Qualifications</td>
<td>2</td>
<td>10.00%</td>
</tr>
</tbody>
</table>

*Data current as of May 31, 2016*

### FY 2016 Top Cited Areas of Deficiencies

<table>
<thead>
<tr>
<th>Grouping</th>
<th>Times Cited</th>
<th>Percentage of Citations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Code of Conduct</td>
<td>4</td>
<td>80.00%</td>
</tr>
<tr>
<td>Health Emergency Procedures</td>
<td>4</td>
<td>20.00%</td>
</tr>
</tbody>
</table>

*Data current as of May 31, 2016*

### FY 2016 AIAN CLASS®

<table>
<thead>
<tr>
<th>Domain</th>
<th>Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>Emotional Support</td>
<td>5.899</td>
</tr>
<tr>
<td>Instructional Support</td>
<td>2.548</td>
</tr>
<tr>
<td>Classroom Organization</td>
<td>5.4749</td>
</tr>
</tbody>
</table>