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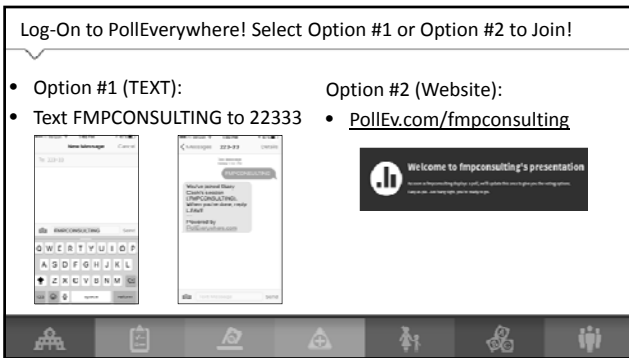
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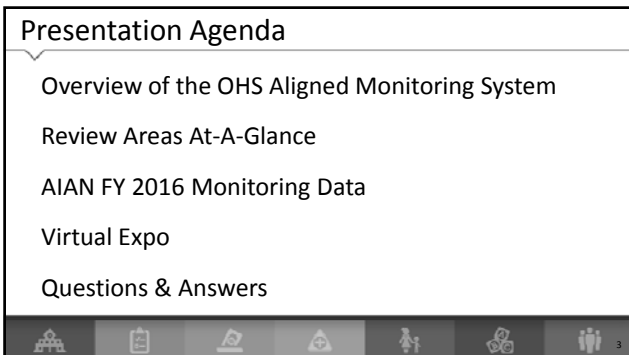
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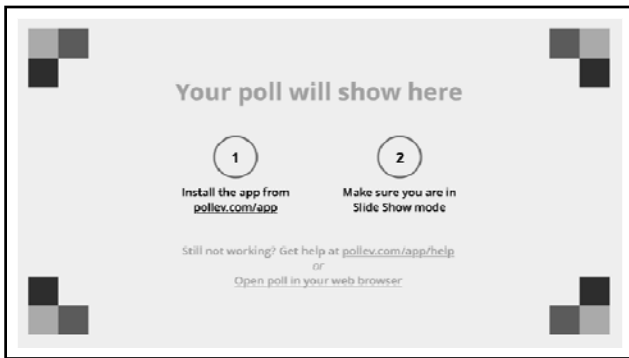
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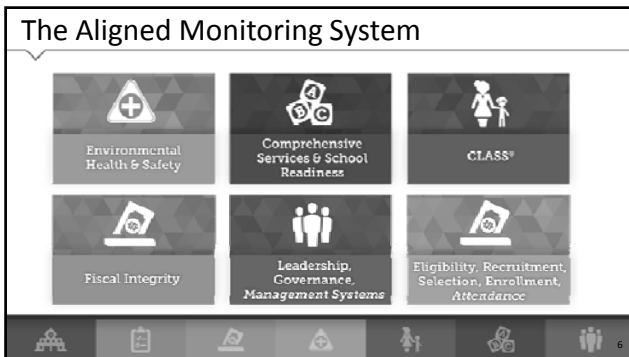
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### Environmental Health and Safety (EnvHS)

 Environmental Health & Safety	<ul style="list-style-type: none"><li>• State Licensing vs. Head Start Regulations/Standards</li><li>• Assesses grantee performance in ensuring healthy and safe environments for children, families, and staff</li><li>• Most directly involves partners because every center and every classroom is observed</li><li>• Consists of observation, interviews, and document reviews</li></ul>
 Fiscal Integrity	
 Comprehensive Services & School Readiness	
 Eligibility, Recruitment, Selection, Enrollment, Attendance	
 Leadership, Governance, Management Systems	

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### Fiscal Integrity

 Environmental Health & Safety	<ul style="list-style-type: none"><li>• The purpose of this review is to ensure grantees are properly using Federal funds to best support children and families</li><li>• This review area may feel unfamiliar to partners who have never participated in a Federal grant program &amp; were never subject to Federal regulations</li><li>• Consists of document reviews, interviews, and reviews partnership contracts and subsidy information</li></ul>
 Fiscal Integrity	
 Comprehensive Services & School Readiness	
 Eligibility, Recruitment, Selection, Enrollment, Attendance	
 Leadership, Governance, Management Systems	

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

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### Comprehensive Services & School Readiness (CSSR)

 Environmental Health & Safety	<ul style="list-style-type: none"><li>• This review event tracks a child's journey along the program path</li><li>• Elements of this review may be quite familiar to partners due to state licensing, accreditation, or QRIS guidelines followed</li><li>• Consists of interviews, document reviews, and observations – likely to directly involve child care partners</li></ul>
 Fiscal Integrity	
 Comprehensive Services & School Readiness	
 Eligibility, Recruitment, Selection, Enrollment, Attendance	
 Leadership, Governance, Management Systems	

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**Eligibility, Recruitment, Selection, Enrollment, Attendance (ERSEA)**

- Environmental Health & Safety
- Fiscal Integrity
- Comprehensive Services & School Readiness
- Eligibility, Recruitment, Selection, Enrollment, Attendance**
- Leadership, Governance, Management Systems

- This review event is designed to ensure that grantees are recruiting and enrolling the community's neediest children
- Some areas of this review such as determining eligibility and tracking attendance may feel familiar to partners – other areas will be new to partners
- Consists of document reviews and interviews typically done with the grantee

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**Leadership, Governance, Management Systems (LGMS)**

- Environmental Health & Safety
- Fiscal Integrity
- Comprehensive Services & School Readiness
- Eligibility, Recruitment, Selection, Enrollment, Attendance
- Leadership, Governance, Management Systems**

- This review event allows for grantees to show how their organizational leadership ensures overall good governance, management, and program performance
- Review area may be unfamiliar to partners because this review assesses the unique program structure of HS and EHS grantees
- Consists of interviews and document reviews, mostly at the grantee level

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### When We Monitor

Years  
1 2 3 4  
Review Event Monitoring

- Environmental Health & Safety ← Usually first
- Fiscal Integrity/ERSEA
- CLASS® ← Never in 1<sup>st</sup> Year
- Comprehensive Services & School Readiness
- Leadership, Governance, Management Systems ← Usually last

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### How It All Connects Together

The monitoring events are designed to evaluate the overall performance and quality of grantees

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### Monitoring is Working!

MONITORING IS IMPROVING GRANTEE OVER TIME

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### AIAN Review Completion

Review Type	Total for FY2016	Site Visits	Completed	Scheduled
CSSR-H	0	0 (0%)	0 (0%)	0 (0%)
CLASS® Review	18	14 (77.8%)	14 (77.8%)	4 (22.2%)
EnvHS	56	55 (98.2%)	43 (76.8%)	1 (1.8%)
Fiscal	36	33 (91.7%)	17 (47.2%)	3 (8.3%)
LGMS	9	6 (66.7%)	2 (22.2%)	3 (33.3%)
CSSR	11	11 (100%)	11 (100%)	0 (0%)
Follow Up	34	21 (61.8%)	15 (44.1%)	13 (38.2%)
Other	12	9 (75%)	4 (33.3%)	3 (25%)
HSKI	2	2 (100%)	2 (100%)	0 (0%)
Overall	178	151 (84.8%)	108 (60.7%)	27 (15.2%)

\*Data current as of May 31, 2016




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### AIAN Classrooms Observed

#### FY2015

- Of the 515 completed EnvHS reviews, 29 were AIAN and reviewers observed 257 classrooms at 83 centers.
  - 66.0% were HS classrooms
  - 34.0% were EHS classrooms
- CLASS® Reviewers observed 42 AIAN classrooms at 19 HS AIAN centers.

#### FY2016 \*

- Of the 511 EnvHS site visits conducted to date 55 were AIAN reviews, reviewers observed 536 classrooms at 238 centers.
  - 79.7% were HS classrooms
  - 20.3% were EHS classrooms
- CLASS® Reviewers observed 127 AIAN classrooms at 51 AIAN HS centers.

\*Data current as of May 31, 2016




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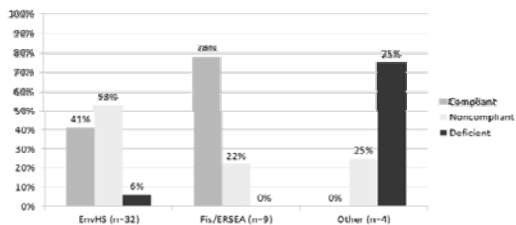
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### FY 2015 AIAN Review Outcomes




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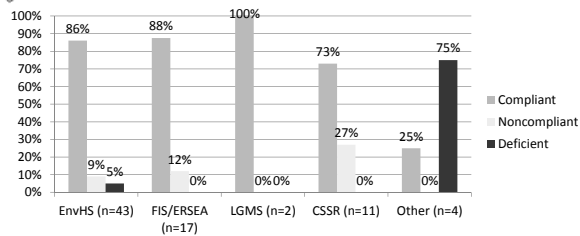
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### FY 2016 AIAN Review Outcomes



\*Data current as of May 31, 2016




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### FY 2015 Top Cited Areas of Noncompliance

Grouping	Times Cited	Percentage of Citations
Physical Arrangements Consistent with the Health, Safety and Developmental Needs of Children	13	25.49%
Criminal Record Checks	12	23.53%
Vehicle Safety	6	11.76%
Maintenance, Repair, Safety, and Security of all Facilities, Materials and Equipment	5	9.80%
Medication Administration	4	7.84%
Hygiene	3	5.88%
Allowable and Allocable Costs	2	3.92%
Health Emergency Procedures	2	3.92%




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### FY 2016 Top Cited Areas of Noncompliance

Grouping	Times Cited	Percentage of Citations
Physical Arrangements Consistent with the Health, Safety and Developmental Needs of Children	5	20.00%
Criminal Record Checks	4	16.00%
Determining Child Health Status	4	16.00%
Driver and Bus Monitor Training	3	12.00%
Classroom Size and Staffing	2	8.00%
Medication Administration	2	8.00%

\*Data current as of May 31, 2016




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### FY 2015 Top Cited Areas of Deficiencies

Grouping	Times Cited	Percentage of Citations
Code of Conduct	4	20.00%
Criminal Record Checks	3	15.00%
Physical Arrangements Consistent with the Health, Safety and Developmental Needs of Children	3	15.00%
Staff Qualifications	3	15.00%
Children are Only Released to a Parent or Legal Guardian	2	10.00%

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### FY 2016 Top Cited Areas of Deficiencies

Grouping	Times Cited	Percentage of Citations
Code of Conduct	4	80.00%
Health Emergency Procedures	1	20.00%

\*Data current as of May 31, 2016

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### FY 2016 AIAN CLASS®

Total Reviews	18	Domain	Score
Site Visits	14	Emotional Support	5.8355
Completed	14	Instructional Support	2.5468
Scheduled	0	Classroom Organization	5.4749

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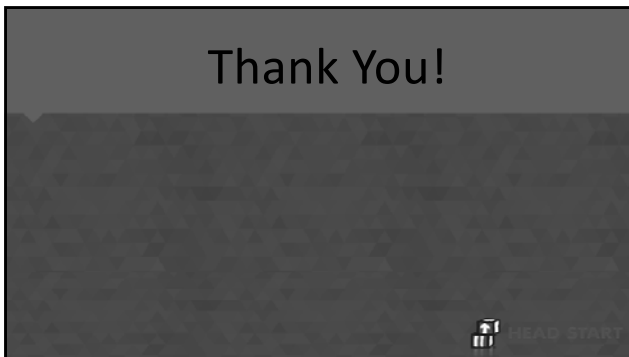
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