

FY 2014 Preview - Year to Date

- A total of 45 Triennial Reviews have been conducted on AIAN grantees in FY 2014 YTD
 - Reports have been received by 23 grantees
- Of the 23 Triennial Reviews that have been completed
 - 12 had compliant review outcomes
 - 11 had noncompliant review outcomes

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FY 2014 Trends - Triennial and First Year Reviews

- AIAN grantees performed better this year than last year
 - Higher percentage of AIAN grantees compliant on Triennial or First Year review (52.1% vs 13.6%)
 - Lower percentage of AIAN grantees deficient on Triennial or First Year review than non-AIAN Grantees (0% vs 2.9%)
 - CLASS scores are comparable to non-AIAN National average in two domains and lower in Instructional Support
(Emotional Support: 6.13 vs. 6.09, Classroom Organization: 5.81 vs. 5.84, Instructional Support: 2.65 vs. 2.94)
- Slightly More findings per review than Non-AIAN Grantees
 - 1.5% vs 1.2%

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FY 2014 Trends - Triennial and First Year Reviews - Concerns

- AIAN Grantee Concerns
 - Slightly more Concerns per review for AIAN grantees than Non-AIAN Grantees (1.1 vs. 1.0)
 - Fewer concerns per review for AIAN grantees in FY2014 compared with AIAN grantees in FY2013 (1.1 vs 1.9)
- The most common concern cited among both AIAN grantees and non-AIAN grantees was Health Screenings

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FY 2014 – Trends Continued

- **The following issues have been top cited in FY 2014 but were not top cited in FY 2013**
 - Assist parents in making the necessary arrangements to bring the child up-to-date health screenings (1304.20(a)(1)(ii)(A))
 - Grantee and delegate agencies must follow a recommended schedule of age appropriate well child care(1304.20(a)(1)(ii)(A))
 - Determining child health status (1304.20(a)(2))

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FY 2014 – Trends Continued

- **The following issues were top cited in FY 2013 but were not top cited in FY 2014**
 - A signed statement identifying which documents was examined to verify income eligibility must be maintained (1305.4(e))
 - Obtain or arrange further diagnostic testing, examination, and treatment (1304.20(a)(1)(iii))
 - Secure the services of mental health professionals on a schedule of sufficient frequency (1304.24(a)(2))

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FY 2014 – No Concerns for AIAN Grantees in Several Areas

- In FY 2014, AIAN grantees had no Concerns, Noncompliances, or Deficiencies on 49% of Compliance Measures.
- AIAN Grantees had no concerns, Concerns, Noncompliances, or Deficiencies in several areas, including:
 - Providing services to children that reflect the outcomes contained in their IEPs and IFSPs.
 - Encouraging parent involvement in the IEP and IFSP process
 - Involving parents when child health or developmental problems are suspected or identified.
 - Obtaining information from parents about their children’s health and safety needs.

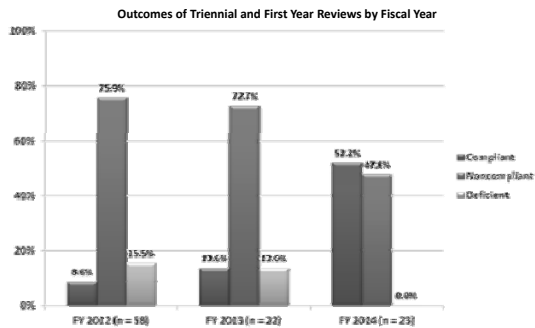
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FY 2014 – No Concerns for AIAN Grantees in Several Areas

- Other areas where AIAN grantees have no Concerns, Noncompliances, or Deficiencies include:
 - Demonstrating respect for each family’s cultural, ethnic, and linguistic diversity.
 - Providing referrals, resources, and services that are responsive to families’ needs.
 - Providing educational opportunities for parents to enhance their parenting skills
 - Providing access to materials, services, and activities critical to family literacy development
 - Promoting access to community services
 - Supporting successful transitions

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AIAN Review Outcomes



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Top Issues Cited As Noncompliant

Issues Most Frequently Cited as Noncompliant in FY2014 Triennial and First Year AIAN Reviews

Finding Area	Protocol Section	Number and Percent of AIAN Grantees Cited for a Noncompliance (n=73)
Reporting to the Governing Body and Policy Council	GOV/PDM	11 (47.82%)
Staff Qualifications	ECD	9 (39.13%)
Determining Child Health Status	CHS/HEA	7 (30.43%)
Governing Body Responsibilities	PDM	5 (21.73%)
Annual Report to the Public	SYS	4 (17.39%)
Non-Federal Share	FIS	4 (17.39%)
Criminal Record Checks	SYS	3 (13.04%)
Screening for Developmental, Health, Sensory, and Behavioral Concerns	HEA	3 (13.04%)

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Results from Triennial and First Year Reviews

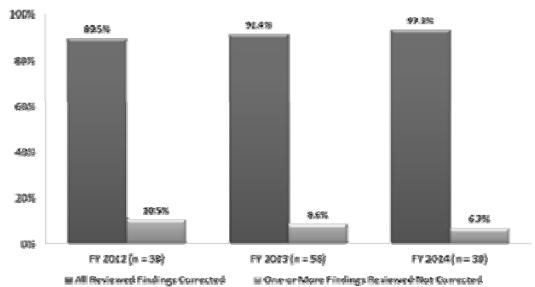
What Types of Issues have been most frequently cited on Triennial and First Year Reviews in FY 2014 YTD (All Grantees)

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Outcomes of Follow Up Reviews

Outcomes of Follow-Up Reviews on AIAN Grantees (FY 2012, FY 2013, FY 2014)



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Unresolved Noncompliances and New Findings Across Regions

Grantees with Unresolved Areas of Noncompliance Resulting in Deficiencies or New Areas of Noncompliance Identified During a Follow-up Review in FY 2014

Region	Number of Follow-up Reviews	Number of Unresolved ANCs	Number of New ANCs
1	5	0	1
2	8	0	0
3	16	2	1
4	22	0	1
5	0	0	0
6	12	0	0
7	16	1	2
8	8	0	0
9	12	0	2
10	4	0	0
11	30	5	2
12	28	0	0
All regions	161	8	9

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Unresolved Noncompliances and New Findings

AIAN Grantees with Unresolved Areas of Noncompliance Resulting in Deficiencies or New Areas of Noncompliance Identified During a Follow-up Review (FY2013 vs. FY 2014)

	Number of Follow Up Reviews	Number and Percent of Follow Up Reviews with Unresolved ANCs	Number of Unresolved ANCs	Number and Percent of Follow Up Reviews with New ANCs
FY 2013	58	8.6% 5 reviews	21	6.9% 4 reviews
FY 2014	30	6.7% 2 reviews	5	3.3% 1 reviews

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FY 2014 – Other Reviews

- A total of 9 Other Reviews were conducted on AIAN Grantees
- All 9 of the Other Reviews had a Deficient Review Outcome
- All of the Deficiencies issued were due to issues with Supervision/ Code of Conduct

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Results from Other Reviews

Issues most frequently cited in Other and Other Offsite Reviews FY 2014
(All Grantees)

Finding Area	Number of Grantees with at least one citation	Percent of Grantees Cited
Code of Conduct	29	7.0
Children are Only Released to a Parent or Legal Guardian	4	1.0
Maintenance, Repair, Safety, and Security of all Facilities, Materials and Equipment	4	1.0
Ongoing Monitoring of Grantee Operations and Delegates	4	1.0
Child Health and Safety	2	0.5
Physical Arrangements Consistent with the Health, Safety and Developmental Needs of Children	2	0.5

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FY 2013 and 2014 – CLASS™ Reviews

- FY 2013 and 2014, AIAN grantees were on par with or higher than other grantees on Emotional Support and Classroom Organization, but lower than grantees on Instructional Support.
- AIAN Grantee CLASS™ scores were similar in Emotional Support and Classroom Organization between FY 2013 and FY 2014, but much higher in Instructional Support in FY 2014.

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FY 2014 and FY 2013 CLASS Results

FY 2014 CLASS Results YTD

Region	Dimensions										Domains		
	Pos Climate	Neg Climate	Teacher Sensibility	Respect for Student Perspective	Behavior Management	Productivity	IF	Concept Dev	Quality of Feedback	LEP Modeling	Emotional Support	Classroom Organization	Instructional Support
11	6.09	1.05	6.00	5.46	6.05	6.13	5.25	2.28	2.68	2.98	6.13	5.81	2.65
Nation*	6.06	1.06	5.89	5.46	6.04	6.1	5.37	2.55	2.94	3.32	6.09	5.84	2.94

FY 2013 CLASS Results

Region	Dimensions										Domains		
	Pos Climate	Neg Climate	Teacher Sensibility	Respect for Student Perspective	Behavior Management	Productivity	IF	Concept Dev	Quality of Feedback	LEP Modeling	Emotional Support	Classroom Organization	Instructional Support
11	6.19	1.02	6.00	5.73	6.01	6.09	5.64	2.00	2.13	2.59	6.22	5.91	2.24
Nation*	5.96	1.06	5.68	5.29	5.86	5.88	5.08	2.45	2.77	3.05	5.97	5.61	2.76

*Excluding AIAN/Region 11

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FY 2014 - AIAN Reevaluation Reviews

- For the FY 2014 AIAN Re-Evaluation Reviews
 - 45 conducted
 - 16 of these reviews have been finalized
 - No grantees have failed!

- All of the grantees were reviewed on a selection of CMs from the monitoring protocol

- Some grantees are also reviewed for CLASS™

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FY 2014 YTD - Strengths

- *“The grantee had numerous successful collaborations leading to improved program planning and provision of increased services to children and families. As a result of the program’s review of its child outcomes data related to school readiness, the program made several changes to its approach to school readiness, strengthening services to children.”*

- *“The premise was to support students through their entire education and support their academic success. The goal of the program was to ultimately provide each child with a college education through a master’s degree or technical training leading to successful employment.”*

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5-Year Grant Cycle

- 32 out of 150 AIAN Grantees have definite 5 year grants.

- The rest of the grantees should expect to transition into 5-year grants at the end of their funding cycle.
 - An additional 12 grantees will be on 5 year grant cycles by the end of FY 2014.
 - 42 grantees are expected to receive 5 year grants in FY 2015.
 - 48 grantees are expected to receive 5 year grants in FY 2016.
 - 16 grantees are expected to receive 5 year grants in FY 2017.

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Coming Soon – FY 2015 Monitoring Changes

- The Office of Head Start is adjusting the monitoring review process to better align monitoring reviews with the five-year grant cycle.
- The new process has not yet been finalized
 - Regional offices and other stakeholders are providing input in the next few months to ensure that the process meets grantee needs.
- You should have received a save the date for the grantee webinar, which is September 17th
 - Please plan to join!

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